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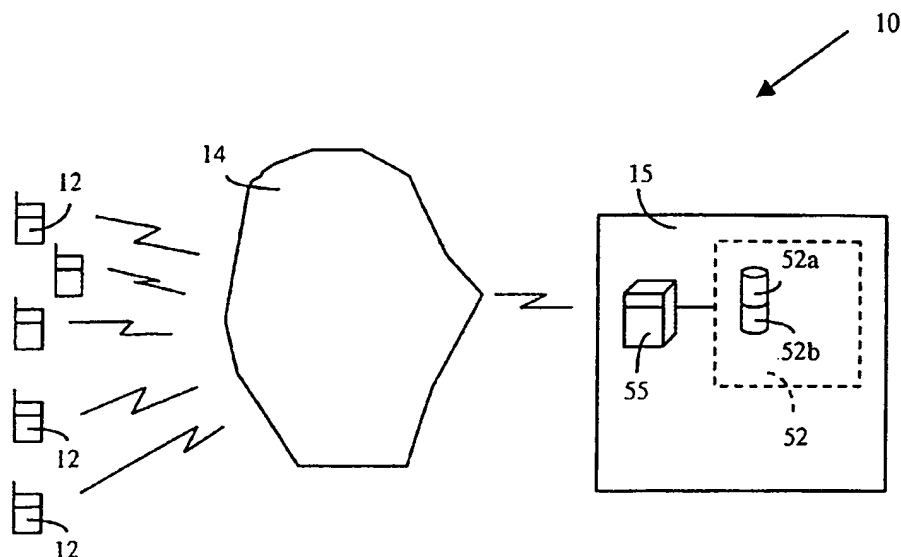
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[Continued on next page]

(54) Title: **SYSTEM AND METHOD FOR IDENTIFYING THE POSITION OF MOBILE TERMINALS**



(57) Abstract: The present invention relates to a system (10) and method for identifying the position of cellular telephones (12) in a geographical area served by a mobile telephony network (14). The system (10) comprises a mobile location center (15) capable of analyzing information about the electromagnetic (RF) field transmitted by cellular telephones (12) with reference information including both RF information and probabilistic information associated with the morphology of the geographical area. The method involves assigning different weights to the location of cellular telephones (12) as a function of probabilistic reference information, and thus makes it possible to use statistical methods for resolving situations of ambiguity in locating cellular telephones which would otherwise be resolved in purely random fashion.

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**Declarations under Rule 4.17:**

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**"SYSTEM AND METHOD FOR IDENTIFYING THE POSITION OF MOBILE  
TERMINALS"**

**FIELD OF THE INVENTION**

The present invention relates to a system and method for  
5 identifying the position of mobile terminals or cellular  
telephones.

More particularly, the present invention relates to a system  
and method for identifying the position of a cellular  
telephone in a geographical area served by a mobile telephony  
10 network.

**BACKGROUND OF THE INVENTION**

Systems and methods for identifying the position of  
cellular telephones are known in the prior art.  
For example, International Patent Publication No. WO0018148  
15 describes a method for locating cellular telephones.

The prior art method makes it possible to locate cellular  
telephones by comparing the Radio Frequency information  
collected from the cellular telephone (cellular telephone's  
RF fingerprint or RF measurements) with RF information  
20 contained in a reference database (reference RF fingerprint),  
in which each reference RF fingerprint corresponds on a one-  
to-one basis with an elementary area or pixel of the  
geographical area served by the network, and by assigning the  
cellular telephone to the position corresponding to the  
25 reference RF fingerprint whose values are closest to those of  
the cellular telephone.

While the prior art method would appear to provide  
reliable results from the technical standpoint, from the  
practical standpoint the results that this method furnishes  
30 are in general unreliable or inaccurate at best.

One practical problem is associated with the limited  
number of frequency channels (channels) that cellular  
telephones use in order to communicate.

As the number of channels is limited (In Italy, for example, GSM (Global System for Mobile Communications) networks provide 124 channels, only a portion of which are allocated to each operator, it is usual for an operator to assign  
5 identical channels to radio base stations that "cover" a given geographical area.

Because of this practice, which is also called frequency reuse, the cellular phone receives RF signals for each channel which correspond to the algebraic sum, in terms of  
10 power, of the field values received from the respective radio base stations using the same channel. Consequently, the cellular telephone's RF fingerprint for a given channel may be the same even when it is generated in different parts of the geographical area, given that it derives from a sum.

15 As can readily be understood, this problem would not exist if the channels were not reused. In such a case, in fact, the field value for each channel would depend only on electromagnetic loss factors due to the route taken to reach the cellular telephone.

20 Another practical problem springs from the fact that the cellular telephones generate an RF fingerprint which includes the field values of a limited number of channels. In the case of GSM, for example, the cellular telephones are capable of generating an RF fingerprint that includes a maximum of seven  
25 values (value septuplet), each corresponding to a field RF value for a different channel.

Consequently, it is extremely probable in practice that cellular telephones positioned at different elements or pixels in the geographical area generate identical  
30 septuplets, precisely because of the limited number of values that can be used. Naturally, when the cellular telephones can provide a number of values equal to the number of available channels, the potential for error would be very limited.

A third technical problem is associated with the fact that the reference databases, even if updated regularly, contain field values which, regardless of the method used to obtain them, cannot correspond exactly to the cellular  
5 telephones' field values because of the continual variations in environmental and/or weather conditions which determine the latter values.

For this reason, it cannot be realistically assumed in practice that the cellular telephone's position is uniquely  
10 identified by a single reference fingerprint, except in particularly fortunate circumstances.

Essentially, then, though the prior art method may be accurate in theory, it has been found in practice that this method results in location errors which in many cases may be  
15 significant because of frequency reuse, the limited number of values that the cellular telephone uses to generate the RF fingerprint, and the fact that the values measured by the cellular telephone vary over time.

In GSM networks, for example, it has been determined  
20 experimentally that there is a systematical ambiguity in establishing cellular telephone location because the prior art method assigns the same septuplet to points that are geographically quite distant, and may be up to several kilometers apart.

#### 25 **DISCLOSURE OF THE INVENTION**

The object of the present invention is a system and method for identifying the position of cellular telephones which does not have the drawbacks of the prior art method, even though it does not require that the characteristics of the  
30 cellular telephones and the information they generate in order to permit location be modified.

This object is achieved by the cellular telephone location system and method as described in the independent claims.

In particular, in accordance with the present invention, location ambiguity can be reduced by introducing additional probabilistic information to the reference database in order to assign cellular telephone location statistically.

5 **BRIEF DESCRIPTION OF THE DRAWINGS**

The above and other features of the present invention will be better understood from the following description of a preferred embodiment of the invention, which is intended purely by way of an example and is not to be construed as  
10 limiting, taken in conjunction with the accompanying drawings, where:

Figure 1 represents a block diagram of the system in accordance with the invention;

Figure 2 represents a logic diagram of the cellular  
15 telephones as shown in Figure 1.

**DESCRIPTION OF A PREFERRED EMBODIMENT OF THE INVENTION**

With reference to Figure 1, the location system 10 in accordance with the present invention comprises a multiplicity of mobile terminals 12, e.g., cellular  
20 telephones, randomly distributed over a given geographical area and whose position is to be identified, a mobile telephony or cellular network (network) 14, e.g., a GSM network, and a Mobile Location Center (MLC center) 15.

Each cellular telephone 12 (Figure 1 and Figure 2) is of  
25 known type and comprises a radio frequency circuit (RF circuit) 22, a control circuit 25 connected to the RF circuit 22 and capable of controlling the activities of the cellular telephone 12 on the basis of programs stored in the control circuit 25, and a SIM card (SIM) 27, connected in accordance  
30 with the prior art to the control circuit 25.

The SIM card 27, of known type, comprises programs which are appropriately prepared by a telephone operator, for example, to manage and bill telephone traffic or, as will be described

in detail below, to enable the cellular telephone 12 to be located.

The control circuit 25, as is known, is capable in particular of measuring, periodically and by means of the RF circuit 22, electromagnetic field values (RF measurements) in a given number of frequency channels (channels), and of selecting among these channels a maximum number of RF measurements, e.g., up to 7 for GSM networks, corresponding to an equal number of channels for which the cellular telephone 12 is capable of decoding an associated identification code.

In accordance with the present invention, the SIM card 27 is capable in particular of responding to appropriate commands set up by the telephone operator and activated by the cellular telephone user by causing the control circuit 25 to perform a predetermined number of measurements, transferring the measurements to the SIM card, and transmitting them in the form, for example, of SMS short messages.

The network 14, of known type, e.g. the GSM network, is capable of receiving the RF measurements transmitted by the cellular telephones 12 and of transmitting them to the MLC center 15 .

In general, the network 14 comprises a multiplicity of radio base stations (RB stations) which are not shown in the figure, and is capable of permitting the exchange of messages and communications between cellular telephones 12 present in elementary points or pixels of the geographical area and service centers, systems and equipment connected to the network 14 and, for example, the MLC center 15.

The MLC center 15 comprises a computer 55 of known type, for example a dual CPU Pentium® III computer with 512 Mbytes RAM and Windows® NT operating system, and a disk sub-system (disks) 52 of known type, connected to computer 55 and

capable of storing reference databases in a first memory zone 52a, and modules or programs to be used in identifying the position of cellular telephones 12 in a second memory zone 52b.

- 5 As will be described in detail below, the MLC center 15 is capable of running the programs stored in zone 52b and of identifying, by means of these programs and on the basis of the SMS messages received from cellular telephone 12 and of the reference databases stored in zone 52a, the position of  
10 the cellular telephones and of transmitting the position information so obtained to service centers and/or to the cellular telephones 12 by means of the network 14.

In accordance with a characteristic feature of the present invention, the reference database comprises a  
15 multiplicity of information strings (records), each consisting of information or fields having the meaning shown in Table 1 below:

INFORMATION OR FIELDS		
I	II to (N-1)	No.
Identifier for geographical area pixel $p_i$ .	RF field values for the channels allocated to the telephone operator who manages the MLC center and which identify the pixel through a one-to-one correspondence.	Probability $\rho_p$ that the cellular telephone is located in the pixel.

Table 1

- 20 In particular, the number of information items relating to RF field values correspond, for example, to the number of frequency channels allocated to the telephone operator and is larger than the number of channels that cellular telephone 12 is capable of decoding.

- 25 In addition, the probability or factor  $\rho_p$  that the cellular telephone is located in pixel  $p_i$  or traffic



probability  $\rho_p$  is determined on a pixel-by-pixel basis using cartographic information such as the following:

- Morphological classification of the pixel;
- buildings Percentage on the pixel;
- 5     - Presence of communication infrastructures such as roads, highways, railroads, etc.

In accordance with this example of a preferred embodiment of the invention, the altitude above sea level of each pixel was  
10 not regarded as contributing to determining factor  $\rho_p$  more reliably, and was thus not considered as an active element in defining this parameter.

To facilitate the normalization operation without limiting the extent to which the formal approach taken to the  
15 problem is generally applicable, a range of values from 0 to 1 was assumed for factor  $\rho_p$ , where the traffic that can be expected for pixel  $p_i$  increases as  $\rho_p$  increases.

In accordance with this example of a preferred embodiment of the invention, it is assumed that a finite number of levels  
20 (i.e., a total of 10) will be used for  $\rho_p$ . The method used to assign these levels as a function of geographical characteristics is presented in the diagram shown in Table 2 below.

As will be readily apparent to a person skilled in the  
25 art, the definition of the values assumed by parameter  $\rho_p$  depends on geographical characteristics in accordance with relationships which, in certain cases, combine various attributes through logical "or" and/or "and" operations. For example, the condition for the first level ( $\rho_p = 0.1$ ) is,  
30 first of all, that the corresponding pixel is covered by a buildings percentage equal to 2% and ("and") that one of the following three ("or") conditions be satisfied: bare area, glacier, dense forest, and ("and"), secondly, that there must

be no communication infrastructures (no main road and no major highway).

It is clear on the whole that a pixel which satisfies these conditions is intuitively at the bottom of the scale of potential traffic values. Likewise, it should be borne in mind that the intrinsic numerical value of 0.1 is to be understood as relative to the overall range (0 to 1) and not as an absolute value, for which no assumptions are made in the absence of specific information.

Thus, the other values of  $\rho_p$  are defined by a unique assignment mechanism which is readily implemented starting from the geographical information available to a person skilled in the art.

Obviously, the diagram in Table 2 derives from a reasonable series of assumptions, which are also dictated by considerations that can be verified experimentally through analyses of samples of the geographical area, and are intended to establish the average number of people occupying the area and the composition/activities of this population.

Factor $\rho_p$	Morphological / land use categories
0.1	<div> <div>% covered by buildings = 2 %</div> <div> <div> <div>Bare area</div> <div>Glacier</div> <div>Dense forest</div> </div> <div>or</div> <div> <div>No main road</div> <div>No major highway</div> </div> </div> <div> <div>and</div> <div>and</div> </div> </div>

0.2	<p>% covered by buildings = 2 %</p> <p>Sparse forest</p> <p>Open wetlands</p> <p>Water</p> <p>No main road</p> <p>No major highway</p>
0.3	<p>% covered by buildings = 2 %</p> <p>Wooded meadow</p> <p>Open grassland</p> <p><math>2\% &lt; \% \text{ covered by buildings} \leq 10\%</math></p> <p>No main road</p> <p>No major highway</p>
0.4	<p><math>10\% &lt; \% \text{ covered by buildings} \leq 20\%</math></p> <p>No main road</p> <p>No major highway</p>

0.5	<p>20% &lt; % covered by buildings ≤ 30%</p> <p>Main road</p> <p>No major highway</p> <p>% covered by buildings ≤ 30%</p>	
0.6	<p>30% &lt; % covered by buildings ≤ 40%</p> <p>No major highway</p>	
0.7	<p>40% &lt; % covered by buildings ≤ 50%</p> <p>No major highway</p>	
0.8	<p>50% &lt; % covered by buildings ≤ 60%</p> <p>Major highway</p> <p>% covered by buildings ≤ 60%</p>	
0.9	<p>60% &lt; % covered by buildings ≤ 70%</p>	
1	<p>% covered by buildings &gt; 70%</p>	

Table 2

As will be readily apparent to a person skilled in the art, the number of discrete values that can be assigned to  $p_p$  and the techniques used to define these values can be varied at will without departing from the general criteria of quantizing the probability of traffic for the various pixels in the geographical areas as a function of cartographic parameters.

In accordance with the method contemplated by the present invention, operation of the system 10 described above is as follows.

When the user of the cellular telephone 12 activates the location function, the SIM card 27 causes the control circuit 25 to activate one or more measurements in sequence of the electromagnetic field in the channels available to cellular telephone 12 by means of the RF circuit 22.

The RF measurements are transferred by the control circuit 25 to the SIM card 27 which, on the basis of the programs stored in its internal memory, causes the control circuit 25 to transmit these measurements to the MLC center 15.

The RF measurements thus transmitted relate, e.g., for the GSM standard, to a maximum of 7 field values for 7 channels.

By means of computer 55 and the programs and data stored in disks 52, the MLC center 25 compares the received field values with the field values of the respective channels stored in the records of the reference database using a predetermined tolerance.

If the outcome of this comparison is negative, or in other words if no record and corresponding pixel are found in the reference database, the computer 55 modifies the search tolerance in discrete steps in order to identify at least one record corresponding to the received RF measurements in the reference database.

If comparison makes it possible to identify a single record, the search ends and the MLC center 25, by means of the computer 55 and network 14, transmits the location information to the cellular telephone and/or to the service center set up to support queries associated with location.

If, as in fact invariably occurs in practice, the comparison identifies a plurality of records, and hence of candidate pixels for establishing the cellular telephone's location, the computer 55 takes the  $\rho_p$  factors from the

records thus identified, assigns a weight proportional to the identified values to these factors, and calculates the position of the cellular telephone by means of a statistical procedure using the weighted  $\rho_p$  factors, so that the higher  
5 the value of  $\rho_p$ , the higher the probability of assigning the position to the corresponding pixel will be.

In detail, a real range, (1,100) for example, is defined. For this range, it is assumed that a random number generator with a uniform probability density is available. Thus, in the  
10 presence of, say, two records, the first with  $\rho_{p=1} = 0.8$  and the second with  $\rho_{p=2} = 0.2$ , the first pixel will be associated with a sub-range R1 of amplitude (1,80), while the second pixel is associated with a sub-range R2 of amplitude (81,100). Extracting a random number from range R with the  
15 aforesaid generator makes it possible to assign the cellular telephone's position to one of the two pixels with a probability equal to the respective  $\rho_p$  factors.

In cases of ambiguity, the computer 55 transmits, for example, the information regarding the cellular telephone's  
20 position and information regarding possible alternative positions and the corresponding probabilities to the service center.

Through the proposed method, it is thus possible to manage situations of ambiguity in weighted fashion, avoiding  
25 the problem which is frequently encountered in practice of having to assign a position at random to the cellular telephone in cases of ambiguity, without taking probabilistic factors associated with the geographical area's cartographic parameters into account.

30 As it has been found in practice that the number of possible positions which can be assigned to the cellular telephone in very large geographical areas such as an Italian region, for example, is in the order of several hundreds, it is also proposed in accordance with a variant to the present

invention that the reference database include a further field or information associated with each pixel  $p_i$  in the geographical area, viz., the identifier of the RB station which is enabled to exchange information with the cellular  
5 telephones positioned in said pixels.

In accordance with this variant, the SMS message transmitted by the cellular telephone includes the RB station identifier as well as the field values. Consequently, the computer first compares the cellular telephone's RF measurements with the  
10 field values in the records having the same received RB station identifier.

Naturally, the method used to assign a position to the cellular telephone by means of weighted probabilities  $\rho_p$  remains unchanged in the proposed variant.

15        Though the foregoing description of a preferred embodiment of the invention applies to a GSM network, it will be readily apparent to a person skilled in the art that the method of assigning a probabilistic weight to the pixels in the geographical area can also be extended to different types  
20 of network where ambiguity in identifying the position of cellular telephones can arise when only electromagnetic field measurements are used.

The circuitry and connections contemplated in the foregoing description are capable of modifications in various  
25 obvious respects, as are the details of the operating method as illustrated, all without departing from the scope of the invention.

## CLAIMS

1. A system for identifying the position of mobile terminals (cellular telephones) in a wireless telecommunications network having a plurality of radio base stations, comprising:
- at least a cellular telephone having
    - means for capturing a cellular telephone fingerprint including field values corresponding to a first number of channels in the RF spectrum; and
    - means for transmitting said cellular telephone fingerprint;
  - characterized by
    - at least one reference station having
      - means for comparing said cellular telephone fingerprint with a plurality of reference fingerprints corresponding to a unique position in said network and comprising
        - a) field values corresponding to a second number of channels in the RF spectrum, said first number being a subset of said second number; and
        - b) a probabilistic value representative of cartographic parameters for the geographical area;
      - means for selecting from said plurality of reference fingerprints and on the basis of said cellular telephone fingerprint a determined number of candidate fingerprints for identifying the position of said cellular telephone; and
      - means for assigning a position to said cellular telephone by attributing one of said candidate fingerprints to said cellular telephone on the basis of said probabilistic value.
2. A system in accordance with claim 1, characterized in that said cellular telephone fingerprint and said reference fingerprints also comprise:



c) identifying codes for identifying the radio base station which is capable of exchanging information with said cellular telephone in the geographical area.

3. A system in accordance with claim 1 or 2 characterized in that said reference station comprises

- means for transmitting said position assigned to said cellular telephone to service centers connected to said network.

4. A system in accordance with claim 1, 2 or 3 characterized in that said network is a GSM network.

5. A method for identifying the position of mobile terminals or cellular telephones in a wireless telecommunications network characterized by the following steps:

- capturing with a cellular telephone a cellular telephone fingerprint including field values corresponding to a first number of channels in the RF spectrum;

- comparing said cellular telephone fingerprint with a plurality of reference fingerprints corresponding to a unique position in said network and comprising

a) field values corresponding to a second number of channels in the RF spectrum, said first number being a subset of said second number; and

b) a probabilistic value representative of cartographic parameters for the geographical area;

- selecting from said plurality of reference fingerprints and on the basis of said cellular telephone fingerprint a determined number of candidate fingerprints for identifying the position of said cellular telephone;

- assigning a position to said cellular telephone by attributing one of said candidate fingerprints to said cellular telephone on the basis of said probabilistic value.

6. A method in accordance with claim 5, characterized in that said cellular telephone fingerprint and said reference fingerprints also comprise:

c) identifying codes for identifying the radio base station which is capable of exchanging information with said cellular telephone in the geographical area.

7. A method in accordance with claim 5 or 6 characterized by  
5 the further step of:

- transmitting said position assigned to said cellular telephone to service centers connected to said network.

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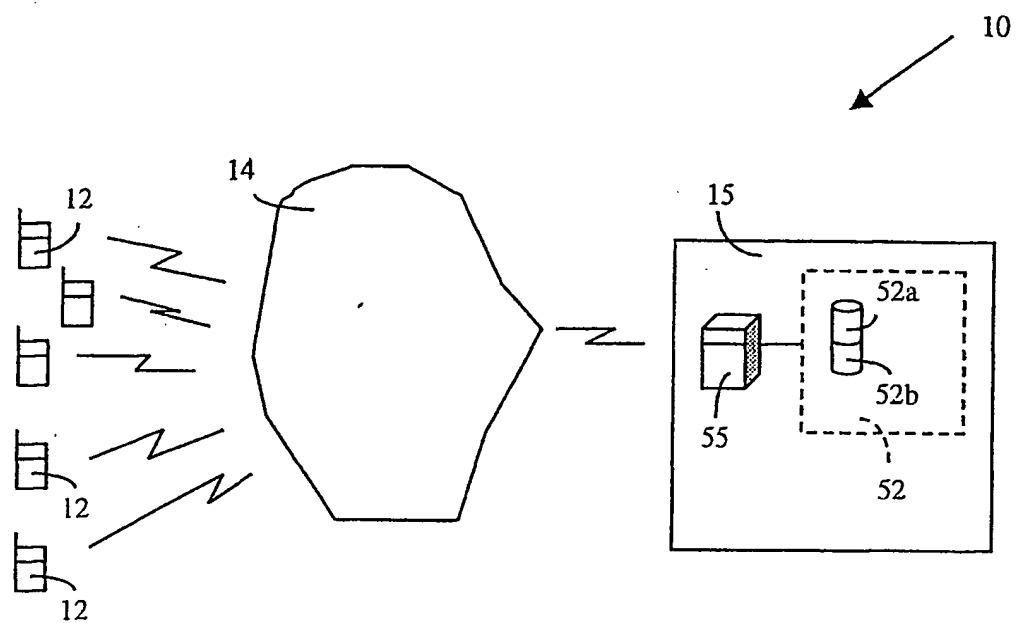


Fig. 1

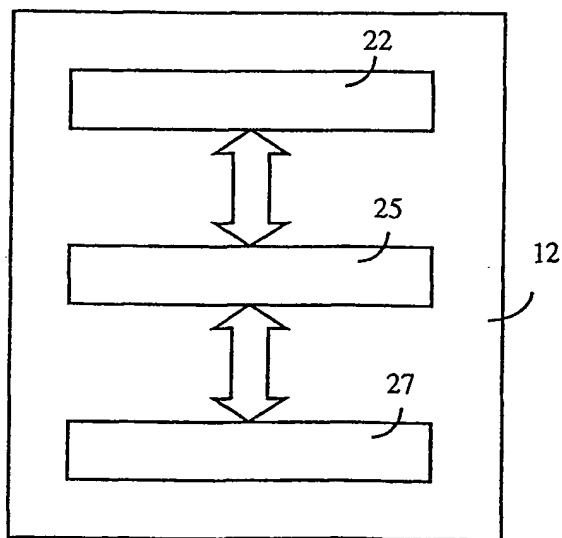


Fig. 2

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IT 01/00575

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04Q7/38

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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Y	US 5 959 580 A (MALONEY ET AL.) 28 September 1999 (1999-09-28) column 8, line 58 -column 9, line 9	1,5
P,Y	WO 01 28272 A (KONINKLIJKE KPN N.V.) 19 April 2001 (2001-04-19) abstract; figures 1-4 page 3, line 17 -page 5, line 37	1
Y	EP 0 982 964 A (LUCENT TECHNOLOGIES INC.) 1 March 2000 (2000-03-01) abstract; figures 2-4 page 3, line 6 -page 4, line 41	1
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☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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## INTERNATIONAL SEARCH REPORT

International Application No  
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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 00 18148 A (PPM, INC.) 30 March 2000 (2000-03-30) cited in the application abstract; figures 1-5 page 4, line 9 - line 45	1-7
A	US 6 104 344 A (WAX ET AL.) 15 August 2000 (2000-08-15) column 5, line 1 - column 6, line 16	1

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International Application No  
**PCT/IT 01/00575**

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